

12-15-03

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PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

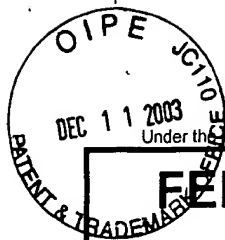
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | | Application Number | 09/297,648 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | Filing Date | March 10, 2000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | First Named Inventor | WILLIAMS, LEWIS T. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | Group Art Unit | 1631 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | Examiner Name | BRUSCA, JOHN S. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Total Number of Pages in This Submission | | 221 | Attorney Docket Number | 2300-1481 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| ENCLOSURES (check all that apply) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <table border="0"><tr><td><input checked="" type="checkbox"/> Fee Transmittal Form</td><td><input type="checkbox"/> Assignment Papers (for an Application)</td><td><input type="checkbox"/> After Allowance Communication to Group</td></tr><tr><td><input type="checkbox"/> Fee Attached</td><td><input type="checkbox"/> Drawing(s)</td><td><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences</td></tr><tr><td><input type="checkbox"/> Amendment / Reply</td><td><input type="checkbox"/> Licensing-related Papers</td><td><input checked="" type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)</td></tr><tr><td><input type="checkbox"/> After Final</td><td><input type="checkbox"/> Petition</td><td><input type="checkbox"/> Proprietary Information</td></tr><tr><td><input type="checkbox"/> Affidavits/declaration(s)</td><td><input type="checkbox"/> Petition to Convert to a Provisional Application</td><td><input type="checkbox"/> Status Letter</td></tr><tr><td><input type="checkbox"/> Extension of Time Request</td><td><input type="checkbox"/> Power of Attorney, Revocation</td><td><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):</td></tr><tr><td><input type="checkbox"/> Express Abandonment Request</td><td><input type="checkbox"/> Change of Correspondence Address</td><td>1. Notice of Appeal in duplicate</td></tr><tr><td><input type="checkbox"/> Information Disclosure Statement</td><td><input type="checkbox"/> Terminal Disclaimer</td><td>2. Two copies of Brief on Appeal</td></tr><tr><td><input type="checkbox"/> Certified Copy of Priority Documents</td><td><input type="checkbox"/> Request for Refund</td><td>3. Three copies of Declaration of Christopher Somerville</td></tr><tr><td><input type="checkbox"/> Response to Missing Parts/Incomplete Application</td><td><input type="checkbox"/> CD, Number of CD(s)</td><td>4. Postcard</td></tr><tr><td><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53</td><td>Remarks</td><td></td></tr></table> | | | | | <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group | <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences | <input type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Licensing-related Papers | <input checked="" type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information | <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter | <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): | <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Change of Correspondence Address | 1. Notice of Appeal in duplicate | <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Terminal Disclaimer | 2. Two copies of Brief on Appeal | <input type="checkbox"/> Certified Copy of Priority Documents | <input type="checkbox"/> Request for Refund | 3. Three copies of Declaration of Christopher Somerville | <input type="checkbox"/> Response to Missing Parts/Incomplete Application | <input type="checkbox"/> CD, Number of CD(s) | 4. Postcard | <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | Remarks | |
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <input type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Licensing-related Papers | <input checked="" type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Change of Correspondence Address | 1. Notice of Appeal in duplicate | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Terminal Disclaimer | 2. Two copies of Brief on Appeal | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <input type="checkbox"/> Certified Copy of Priority Documents | <input type="checkbox"/> Request for Refund | 3. Three copies of Declaration of Christopher Somerville | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | <input type="checkbox"/> CD, Number of CD(s) | 4. Postcard | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | Remarks | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Signing Attorney/Agent (Reg. No.) | JAMES S. KEDDIE, PH.D., 48.920 BOZICEVIC, FIELD & FRANCIS LLP | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Signature | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Date | December 11, 2003 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| EXPRESS MAIL LABEL NO. EV333998551US | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 950

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

50-0815

Bozicevic, Field & Francis LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application.

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code | Entity Fee Code | Small Entity Fee Code | Fee Description |
|-----------------------|-----------------|-----------------------|---------------------------|
| 1001 | 770 | 2001 | 385 Utility filing fee |
| 1002 | 340 | 2002 | 170 Design filing fee |
| 1003 | 530 | 2003 | 265 Plant filing fee |
| 1004 | 770 | 2004 | 385 Reissue filing fee |
| 1005 | 160 | 2005 | 80 Provisional filing fee |

SUBTOTAL (1)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims | -20** = | x | = |
| Indep. Claims | -3** = | x | = |
| Multiple Dependent | | | = |

| Large Entity Fee Code | Entity Fee Code | Small Entity Fee Code | Fee Description |
|-----------------------|-----------------|-----------------------|--|
| 1202 | 18 | 2202 | 9 Claims in excess of 20 |
| 1201 | 86 | 2201 | 43 Independent claims in excess of 3 |
| 1203 | 290 | 2203 | 145 Multiple dependent claim, if not paid |
| 1204 | 86 | 2204 | 43 ** Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$

**or number previously paid, if greater; For Reissues, see above.

Complete if Known

Application Number 09/297,648
Filing Date March 10, 2000
First Named Inventor WILLIAMS, LEWIS T.
Examiner Name BRUSCA, JOHN S.
Art Unit 1631
Attorney Docket No. 2300-1481

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code | Entity Fee Code | Small Entity Fee Code | Fee Description |
|-----------------------|-----------------|-----------------------|---|
| 1051 | 130 | 2051 | 65 Surcharge - late filing fee or oath |
| 1052 | 50 | 2052 | 25 Surcharge - late provisional filing fee or cover sheet |
| 1053 | 130 | 1053 | 130 Non-English specification |
| 1812 | 2,520 | 1812 | 2,520 For filing a request for ex parte reexamination |
| 1804 | 920* | 1804 | 920* Requesting publication of SIR prior to Examination action |
| 1805 | 1,840* | 1805 | 1,840* Requesting publication of SIR after Examiner action |
| 1251 | 110 | 2251 | 55 Extension for reply within first month |
| 1252 | 420 | 2252 | 210 Extension for reply within second month |
| 1253 | 950 | 2253 | 475 Extension for reply within third month |
| 1254 | 1,480 | 2254 | 740 Extension for reply within fourth month |
| 1255 | 2,010 | 2255 | 1,005 Extension for reply within fifth month |
| 1401 | 330 | 2401 | 165 Notice of Appeal |
| 1402 | 330 | 2402 | 165 Filing a brief in support of an appeal |
| 1403 | 290 | 2403 | 145 Request for oral hearing |
| 1451 | 1,510 | 1451 | 1,510 Petition to institute a public use proceeding |
| 1452 | 110 | 2452 | 55 Petition to revive - unavoidable |
| 1453 | 1,330 | 2453 | 665 Petition to revive - unintentional |
| 1501 | 1,330 | 2501 | 665 Utility issue fee (or reissue) |
| 1502 | 480 | 2502 | 240 Design issue fee |
| 1503 | 640 | 2503 | 320 Plant issue fee |
| 1406 | 130 | 1460 | 130 Petitions to the Commissioner |
| 1807 | 50 | 1807 | 50 Processing fee under 37 CFR 1.17(q) |
| 1806 | 180 | 1806 | 180 Submission of Information Disclosure Stmt |
| 8021 | 40 | 8021 | 40 Recording each patent assignment per property (times number of properties) |
| 1809 | 770 | 2809 | 385 Filing a submission after final rejection (37 CFR § 1.129(a)) |
| 1810 | 770 | 2810 | 385 For each additional invention to be examined (37 CFR § 1.129(b)) |
| 1801 | 770 | 2801 | 385 Request for Continued Examination (RCE) |
| 1802 | 900 | 1802 | 900 Request for expedited examination of a design application |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

950

SUBMITTED BY

Complete (if applicable)

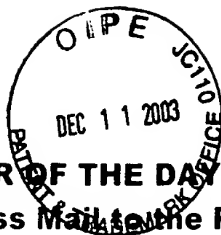
| | | | | | |
|-------------------|------------------------|-----------------------------------|------------|-----------|----------------|
| Name (Print/Type) | James S. Keddie, Ph.D. | Registration No. (Attorney/Agent) | 48,920 | Telephone | (650) 833-7723 |
| Signature | | Date | 12/11/2003 | | |

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DATE: December 11, 2003



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The person taking the Express Mail to the Post Office is: Alicia Silvestrin

EXPRESS MAIL CERTIFICATION

Date of Deposit: 12/11/2003 I hereby certify that the below-listed papers or fees were inserted into a package addressed to: Commissioner for Patents, PO BOX 1450, Alexandria, Virginia 22313-1450 and was deposited by me with the United States Postal Service "Express Mail Post Office Addressee" service under 37 C.F.R. § 1.10 on the date indicated above.

Signature Alicia Silvestrin

Date 12.11.03

| Atty. Docket No. | Serial Number | Description | Atty. | Fee |
|------------------|---------------|--|-------|---------|
| GANG-002 | 10/259,164 | Transmittal, IDS, SB08A, Copies of (91) Cited References | CLF | |
| GANG-001 | 10/259,197 | Transmittal, IDS, SB08A, Copies of (91) Cited References | CLF | |
| STAN-096DIV2 | 10/340,101 | Transmittal, Petition for a 2 Month Extension of Time <i>in duplicate</i> , Amendment | PJS | \$210 |
| RIGL-006 | 09/285,912 | Transmittal, PTOL-85B <i>in duplicate</i> , Formal Drawing Transmittal, (21) Sheets of Figures | JSK | \$665 |
| 2300-1487 | 09/313,292 | Transmittal, Fee Transmittal <i>in duplicate</i> , Copy of Notice of Appeal as Filed 07/14/03, Petition for a 3 Month Extension of Time, Brief on Appeal <i>in triplicate</i> containing a Request for Oral Hearing, Appendices I-II | JSK | \$1,570 |
| UCAL-281 | 10/627,447 | Transmittal, IDS, Copy of International Search Report dated 11/06/03, SB08A | PAB | |
| 2300-1481 | 09/297,648 | Transmittal, Fee Transmittal <i>in duplicate</i> , Notice of Appeal <i>in duplicate</i> , Brief on Appeal <i>in triplicate</i> containing a Request for Oral Hearing | JSK | \$950 |
| UCAL-104CIP | 09/605,166 | Interview Summary | PAB | |
| UCAL-122CON | 10/093,044 | Transmittal, Fee Transmittal <i>in duplicate</i> , Amendment | PAB | \$172 |